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PFIZER DOCKET NO: 9230A

APPLICATION NUMBER: 08/764,110

TITLE: SUBSTITUTED HETEROCYCLIC DERIVATIVES

APPLICANT: YUHPYNG L. CHEN

"Express Mail" mailing label number EM484850243US

Date of Deposit June 26, 1998

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Kristina L. Konstas

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(Signature of person mailing paper or fee)

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GPAU - 1611 \$

PTO/SB/29 (2/98)

#7

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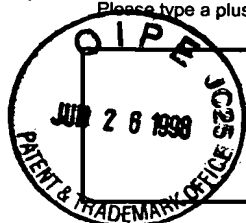
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## CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.

CHECK BOX, if applicable:

(Only for Continuation or Divisional applications under 37 C.F.R. § 1.53(d))



DUPLICATE

## Address to:

Box CPA

Assistant Commissioner for Patents

Washington, DC 20231

Attorney Docket No.

9230A

First Named Inventor

YUHPYNG L. CHEN

Examiner Name

BERCH, M.

Group/Art Unit

1611

Express Mail Label No.

EM484850243US

This is a request for a ☒ continuation or ☐ divisional application under 37 C.F.R. §1.53(d), (continued prosecution application (CPA)) of prior application number 08/764,110 filed on 12/6/96 entitled SUBSTITUTED HETEROCYCLIC DERIVATIVES.

### NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. §1.51(b) or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. §154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. §1.53(d), but must be filed under 37 C.F.R. §1.53(b).

**EXPRESS ABANDONMENT OR PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. §1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. § 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

1. ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 C.F.R. § 1.116 in the prior nonprovisional application.
2. ☐ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. §1.53(d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
   
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449 (substitute form)
  - b. ☐ Copies of IDS Citations

GROUP 180

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06/30/1998 RMAGAT 00000058 161445 08764110

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790.00 CH

3/98, M35

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 C.F.R. § 1.16(c) or (j))	14-20* =	0	x \$ <u>22</u> =	\$ 0
	INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b) or (i))	1 -3** =	0	x \$ <u>82</u> =	0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. § 1.16(d))			x \$ <u>270</u> =	0
				BASIC FEE (37 C.F.R. § 1.16)	790
				Total of above Calculations =	790
	Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28).				0
	* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.			Total =	790

## 6. Small entity status:

- a. ☐ A small entity statement is enclosed, if (b) and (c) do not apply.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16 - 1445:

- a. ☒ Fees required under 37 C.F.R. §1.16.
- b. ☒ Fees required under 37 C.F.R. §1.17.
- c. ☐ Fees required under 37 C.F.R. §1.18.

8. ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed.

9. ☒ Other: 1. Associate Power of Attorney \_\_\_\_\_  
2. US Express Mail Certificate with Express Mail Label No. EM484850243US dated 6/26/98  
\_\_\_\_\_

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

## 10. NEW CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label \_\_\_\_\_ or ☐ New Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name				
Address				
City	State	Zip Code		
Country	Telephone	Fax		

## 11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME (Print Type)	Kristina L. Konstas
Signature	<i>Kristina L. Konstas</i>
Registration No. (Attorney/Agent)	37,864
Date	6/26/98 <i>6/26/98</i>

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